

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.ontario.ny.us/tdc
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.ontario.ny.us/tdc
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2800(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	No	
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.ontario.ny.us/tdc
8. Has the Authority mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authoritys measurement report, as required by section 2824-a of Public Authorities Law and provide the URL?	www	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.co.ontario.ny.us/tdc
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.co.ontario.ny.us/tdc
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.co.ontario.ny.us/tdc
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.co.ontario.ny.us/tdc
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	No	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	
17. Has the board adopted a Uniform Tax Exemption Policy (UTEP) according to Section 874(4) of GML?		

Board of Directors Listing

Name	Manikowski, Michael	Name	Sheppard, John
Chair of Board	No	Chair of Board	No
If yes, Chairman Designated by		If yes, Chairman Designated by	
Term Start Date	01/01/2009	Term Start Date	02/18/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Board Member	Title	Board Member
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Appointed By(Name of Ex-officio Board member)	Yes	Appointed By(Name of Ex-officio Board member)	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	Yes	Complied with Training requirement of Section 2824 ?	No
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	Yes	Does the board member/designee also hold an elected or appointed municipal government Position?	Yes

Board of Directors Listing

Name	Sorenson, Camille	Name	Astles, Geoffrey C
Chair of Board	No	Chair of Board	Yes
If yes, Chairman Designated by		If yes, Chairman Designated by	Elected by Board
Term Start Date	01/01/2009	Term Start Date	07/09/2009
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Board Member	Title	Board Chair
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Appointed By(Name of Ex-officio Board member)	Yes	Appointed By(Name of Ex-officio Board member)	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	Yes	Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No	Does the board member/designee also hold an elected or appointed municipal government Position?	Yes

Board of Directors Listing

Name	von Berg, Steven	Name	Smith, David R
Chair of Board	No	Chair of Board	No
If yes, Chairman Designated by		If yes, Chairman Designated by	
Term Start Date	01/22/2010	Term Start Date	01/25/2008
Term Expiration Date	01/28/2011	Term Expiration Date	01/27/2012
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No	Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	No	Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No	Does the board member/designee also hold an elected or appointed municipal government Position?	No

Board of Directors Listing

Name	Mossbrook, Douglas	Name	Seem, Robert
Chair of Board	No	Chair of Board	No
If yes, Chairman Designated by		If yes, Chairman Designated by	
Term Start Date	01/25/2008	Term Start Date	01/26/2007
Term Expiration Date	01/27/2012	Term Expiration Date	01/28/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No	Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	Yes	Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No	Does the board member/designee also hold an elected or appointed municipal government Position?	No

Board of Directors Listing

Name	Ward, Michael
Chair of Board	No
If yes, Chairman Designated by	
Term Start Date	01/23/2009
Term Expiration Date	01/25/2013
Title	
Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt Indicator	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the authority	If yes Is payment made by state or local government
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This authority has indicated that it has no staff during the reporting period.

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Astles, Geoffrey C	Board of Directors												X	
von Berg, Steven	Board of Directors												X	
Manikowski, Michael	Board of Directors												X	
Sheppard, John	Board of Directors												X	
Sorenson, Camille	Board of Directors												X	
Seem, Robert	Board of Directors												X	
Smith, David R	Board of Directors												X	
Mossbrook, Douglas	Board of Directors												X	
Ward, Michael	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this authority? No

Name of Subsidiary/Affiliate	Status	Requested Changes
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Subsidiary/Affiliate Creation

Name of Subsidiary/Affiliate	Establishment Date	Entity Purpose
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Subsidiary/Affiliate Termination

Name of Subsidiary/Affiliate	Termination Date	Termination Reason	Proof Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$1,966,114
Investments	\$0
Receivables, net	\$52,437
Other assets	\$19,289
Total Current Assets	\$2,037,840
Noncurrent Assets	
Restricted cash and investments	\$379,787
Long-term receivables, net	\$0
Other assets	\$500,258
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$30,845
Infrastructure	\$4,912,866
Accumulated depreciation	\$130,084
Net Capital Assets	\$4,813,627
Total Noncurrent Assets	\$5,693,672
Total Assets	\$7,731,512

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$259,112
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$12,845
Deferred revenues	\$55,652
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$126,664
Total Current Liabilities	\$454,273

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$7,757,019
Total Noncurrent Liabilities	\$7,757,019

Total Liabilities

\$8,211,292

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	(\$479,780)
Total Net Assets	(\$479,780)

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$306,177
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$306,177

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$187,708
Supplies and materials	\$0
Depreciation & amortization	\$94,752
Other operating expenses	\$453,779
Total Operating Expenses	\$736,239

Operating Income (Loss) **(\$430,062)**

Nonoperating Revenues

Investment earnings	\$3
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$401,452
Total Nonoperating Revenue	\$401,455

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$17,010
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$441,924
Total Nonoperating Expenses	\$458,934
Income (Loss) Before Contributions	(\$487,541)
Capital Contributions	\$0
Change in net assets	(\$487,541)
Net assets (deficit) beginning of year	\$7,761
Other net assets changes	\$0
Net assets (deficit) at end of year	(\$479,780)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - General Obligation

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Bank Term Loan	Refunding	0.00	05/26/2010		Negotiated	0	Fixed	24	154,658.00		
	New	4,177,434.00									
	Total	4,177,434.00									
Lateral Line of Credit 1	Refunding	0.00	03/23/2010		Negotiated	0	Fixed	10	0.00		
	New	160,901.00									
	Total	160,901.00									
Lateral Line of Credit 2	Refunding	0.00	05/26/2010		Negotiated	0	Variable	10	0.00		
	New	426,964.00									
	Total	426,964.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation	0.00	1,715,898.00	4,765,299.00	17,550.00	6,463,647.00
Revenue					
Other Non-State Funded	0.00	0.00	0.00	0.00	0.00
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	No	
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	No	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

This Authority has indicated that it did not award any loans during the reporting period.

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: